PROGRAM FOR POSITIVE AGING

How do we move evidence-informed assessment and management of behavioral symptoms into the real world?: *The DICE Approach*

Helen C. Kales MD

Professor of Psychiatry Director, Program for Positive Aging University of Michigan



Acknowledgements

• NIMH: R01MH081070

- H. Myra Kim PhD
- Donovan Maust MD MS
- Lon S. Schneider MD
- Frederic C. Blow PhD
- VA Merit IIR 15-330 and Donahue Foundation
 - Ilse Wiechers MD
 - Donovan Maust MD MS
 - Kara Zivin PhD
 - Orna Intrator PhD
- MICHIGAN MEDICINE

*There are no conflicts to disclose

PROGRAM FOR PROGRAM FOR POSITIVE AGING

- Michigan Health Endowment Fund
- MDHHS
 - Mary Blazek MD
 - Laura Struble PhD
 - Lynn Etters DNP

Currently, the **5 million** people with dementia and their **15 million** family caregivers often find themselves navigating without resources or training





Dementia behaviors (BPSD) and their consequences

- Depression
- Anxiety
- Apathy
- Psychosis
- Agitation
- Aggression
- And many more



- Greater functional impairment
- Worsened quality of life
- Excess morbidity and hospitalizations
- Earlier nursing home placement
- Major source of caregiver burden and reduced caregiver income
- \$10,000/year additional care costs
- Shorter time to severe dementia
- Accelerated mortality



Dementia Care for BPSD: Big problem #1

- Big problem #1=Inability to access relevant resources precisely when needed
 - Few specialists, concentrated in academic centers
 - Primary care physicians with too little training
 - Dementia is more than a "medical" illness, there are multiple other spheres it impacts (legal, financial, functional, social)
 - Resources are available but can be hard for caregivers to find and access





Lack of resources impacts on family caregivers and people with dementia

Caregiver themselves:

- Stress
- Burden
- Depression
- Burnout
- Lost income



To the person with dementia:

- Unoptimized health and function
- Limited social engagement
- Lack of tailored activities

Within the dyad:

- Lack of understanding about dementia ("he's doing this on purpose")
- Poor communication (yelling, negative communications)
- Expecting too much for the person's dementia stage





Dementia Care for BPSD: Big problem #2

- Personalized medicine=treatment focusing on patients based upon their individual clinical characterization
- Precision medicine=focus on identifying which treatment approaches will be effective for which patients
- Big problem #2=Current dementia care is neither personalized nor precise
 - Given the lack of a cure for dementia, the current focus is on day to day management
 - Much of that management is focused on the ubiquitous and <u>extremely challenging behaviors</u> that accompany dementia
 - Current real-world care (community or NH) for behaviors is largely centered on medicating/sedating people with dementia





Current Real-World "Assessment" of Behavioral and Psychological Symptoms of Dementia



Dementia Care: Big problem #3

- Medications not very effective (1950's treatment for 21st century patients)
- In most cases, medications do not treat the underlying problem, but cover it over (e.g. sedate)
- Medications are associated with significant side effects including mortality
- Efforts by national policy bodies to limit use of one medication (e.g. antipsychotics) drive up use of others (e.g. anticonvulsants)
- Behavioral and environmental treatment strategies if selected appropriately (precision medicine) are more effective







Table 3. Adjusted Mortality Risk Differences in Death Rates During the 180-Day Observation Period Between Medication Users and Antidepressant Users^a

Medication	Risk Difference, % (95% CI)	NNH (95% CI)
Antidepressant	[Reference]	NA
Haloperidol	12.3 (8.6-16.0) ^b	8 (6-12)
Olanzapine	7.0 (4.2-9.8) ^b	14 (10-24)
Quetiapine	3.2 (1.6-4.9) ^b	31 (21-62)
Risperidone	6.1 (4.1-8.2) ^b	16 (12-25)
Valproic acid	5.1 (1.8-8.4) ^b	20 (12-56)

Antipsychotic use HAS declined—but does that mean that fewer people with dementia are being medicated with psychiatric drugs?

- Programs such as CMS' National Partnership have driven down nursing home AP use
- Unintended consequences?: Shift to other psychotropics with less evidence of benefit and similar risks?



Maust, Kales et al JAMA Internal Med 2018



BMJ Conceptual Model

- Consequence of neurodegeneration associated with dementia
- Creates an increased vulnerability to stressors
- Stressors include patient, caregiver and environmental factors
- No one-size-fits all solution
- Need for personalization and precision



NIA/NIMH Panel, 2017





Non-pharmacologic treatment Numerous expert bodies recommend as first-line

- May be better stated as "ecobiopsychosocial" interventions



- Largely NOT been translated to real-world care and clinical settings
 - Lack of scalable training programs for caregivers and providers
 - Time required
 - Lack of guidelines-what strategy to use and when?
 - So many interventions (e.g. acupuncture, music therapy, reminiscence) what works?
- Big problem #3: Lack of training among caregivers (or providers) on how to use proven non-pharmacological strategies to manage behavioral symptoms



Molinari et al, 2010; Cohen-Mansfield et al, 2013



How can we solve Big Problems #1, 2 and 3?

With innovation, "packaging" and technology





The DICE Approach

- Program for Positive Aging organized and funded a 2011 meeting of national experts across disciplines
 - Consider possible etiologies
 - Include caregiver in process
 - Integrate pharmacologic and non-pharmacologic
 - Build in flexibility to use in various care settings
 - Goal to avoid knee-jerk prescribing without assessment of underlying causes



Kales, Gitlin, Lyketsos JAGS 2014

– *We need to better PACKAGE non-pharmacologic approaches







ESCRIBE

NVESTIGATE

/ALUATE

REATE



- **Describe** a behavior that challenges; who, what, where, when, and how the behavior occurs
- Investigate thinking like a detective and explore the person with dementia, the caregivers, and environment for possible clues to triggers underlying possible causes of behavior
- **Create** a prescription in collaboration with your team to help prevent and manage behaviors
- Evaluate and review prescription effectiveness, and modify or restart the process as needed



How Does DICE Differ from Other Approaches Out There?

- Approach is algorithmic
 - Simple but elegant
 - Designed to be easy to remember and to help create "good habits"
- Integrates pharmacologic and non-pharmacologic approaches
- Expands the discussion of medications beyond psychiatric medications to other medications (for pain, infection, constipation, etc)
- Strategies are tailored to the PWD, caregiver and environment





We've "Packaged" the Approaches Via DICE, How Do We Deliver Them?

- Michigan Health Endowment Funding (MHEF)
- Pilot of DICE Trainings: 1 day; 8 modules with 1.5 hour brainstorming session
 - Creation of DICE manual
 - Michigan-3 trainings (Grand Rapids, Ann Arbor, East Lansing)
 - Mix of family (n=40) and professional (RN, LPN, CNA, PT, RT, SW; n=142) caregivers; a number of the professional caregivers were also family caregivers







Michigan Training Results	Family Caregivers (n=40)	Professional Caregivers (n=182)
Understand feelings of PWD	1.67 (0.81-3.41) NS	1.46 (0.66-3.35) NS
Understand way the PWD interacts with others and their environment	2.78 (1.30-5.95) p<0.009	2.78 (1.18-6.52) p<0.02
Use information about past interests	1.67 (0.81-3.41) NS	1.81 (0.80-4.13) NS
Protect the dignity of the PWD	2.13 (0.92-4.92) NS	2.93 (1.11-7.71) p<0.03
Deal with behavior of the PWD	5.60 (2.16-14.50) p<0.0004	3.92 (1.40-10.98) p<0.01
Decide about risk in PWD	2.44 (1.13-5.31) p<0.03	1.53 (0.64-3.65) NS
Offer stimulation to the PWD	2.78 (1.30-5.95) p<0.009	1.35 (0.57-3.20) NS
Offer choices to the PWD	3.29 (1.41-7.66) p<0.006	2.62 (1.03-6.67) p<0.05
Engage PWD in creative activities	3.00 (1.35-6.68) p<0.008	1.55 (0.63-3.80) NS
Understand the causes of behaviors	4.50 (1.86-10.90) p<0.0001	1.95 (0.74-5.18) NS
Understand how to assess and manage BPSD	10.33 (3.16-33.80) p<0.0001	4.88 (1.39-17.09) p<0.02
Understand PWD, caregiver, environmental factors and BPSD	4.80 (1.83-12.58) p<0.002	3.55 (1.24-10.18) p<0.02

Qualitative Feedback

- The whole approach organizes a complicated medical problem brain disease -into something more manageable. Each aspect of the training was illuminating for me as a caregiver. I have found great comfort today -education really is empowering.
- The DICE approach incorporates front line staff to help describe and investigate and be included in the process.
- I liked the different steps in DICE and how simple the acronym is. I also like how it focuses on the person and how to help them and the caregiver through situations rather than just accept the disease.





DICE Trainings

- Most helpful aspects:
 - Use of workshop materials (case studies, role playing, time to talk to other providers)
 - Combining paid professionals and home/family care givers for interaction and learning
 - Use of simple framework (DICE) that is understandable to all
 - Covering medication issues
 - Handouts to take home





DICE Website—Coming Soon (January 2019)



Website samples

 <u>https://drive.google.com/drive/folders/1BRjRoD05v-</u> 95Q7SgAl_7VA5b1vkQltTL





Summary

- The number of people with dementia and their family caregivers is large and growing every day with the aging of the population
- Living well with dementia is the goal
- Current care systems are inadequate and lead to multiple poor outcomes
- Innovative solutions like the DICE Approach with delivery methods including a manual, training and website can put the key components of good dementia care at the fingertips of the people who need it most



